PTO/S8/01 (08-03) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

ADEMAR **DECLARATION FOR UTILITY OR DESIGN** PATENT APPLICATION (37 CFR 1.63)

□ Declaration OR Submitted With Initial

Filing

⊠Declaration

Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number		40715/296579				
First Named Inventor		Peng				
	СОМР	LETE IF KNOWN				
Application Number	10/	763,068				
Filing Date	January 22, 2004					
Art Unit	177	4				
Examiner Name	To E	Be Assigned				

I hereby declare that:							
Each inventor's residence, mailing address, and citizenship are as stated below next to their name.							
I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:							
MONODISPERSE CORE/SHELL AND OTHER COMPLEX STRUCTURED NANOCRYSTALS AND METHODS OF PREPARING THE SAME							
NANOCRYSTALS	AND METHODS OF	PREPARING THE	SAME				
the specification of which	(ITUB OF IN	e Invention)					
☐ is attached hereto							
OR							
was filed on (MM/DD	^(/YYYY) 1/22/2004	as United States Ap	plication Number of	r PCT Internationa	ıl		
Application Number	10/763,068 and	was amended on (MM/DD/Y	YYY) [(i	f applicable).		
I hereby state that I have revamended specifically referre	viewed and understand the coed to above.	ontents of the above identif	ied specification, i	ncluding the clai	ns, as		
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.							
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant							
breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant							
breeder's rights certificate(s), claimed.	or any PCT international appl	lication having a filing date t	pefore that of the a	application on whi	ch priority is		
Prior Foreign Application		Foreign Filing Date	Priority	Certified Copy	Attached?		
Number(s)	Country	(MM/DD/YYYY)	Not Claimed	YES	NO		
Additional foreign applicat	tion numbers are listed on a su	pplemental priority data shee	t PTO/SB/02B attac	ched hereto:			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/01 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
The Party ork Reduction Act 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

			_					
Direct all correspondence to: Customer Number OR Correspondence address below								
State ZIP								
NC 27101								
	Tel	ephone		F	ax			
	336-607-7300				36-607-7500			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.								
	Ar	petition has	been file	ed for	this unsigned inventor			
			e Pen	g				
7			Date	(7/16/04			
State		Count	Country		Citizenship			
AR		USA	USA		China			
State		Zip			Country			
AR		72701		_	USA			
	□ A;	petition has	been file	ed for	this unsigned inventor			
Given Name Jianqing Family Name Li (first and middle [if any]) row Sumame								
			Date					
State	State		Country		Citizenship			
CA	CA		USA		China			
Mailing Address								
3110 Sawtelle Road, Apt. 305								
State		Zip			Country			
CA		90066	6		USA			
Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.								
	State NC knowledge made with C. 1001 ar State AR State AR State CA	State NC Tel 336 n knowledge are true made with the knowl C. 1001 and that suc State AR State AR State AR State CA	State NC Telephone 338-607-7300 In knowledge are true and that all simade with the knowledge that will complete to the compl	State NC Telephone 336-607-7300 In knowledge are true and that all statements made with the knowledge that willful false statement or Surname A petition has been file Family Name Perfor Surname Date State Country AR USA State Zip AR 72701 A petition has been file Family Name Lifor Surname Date State Country A petition has been file Family Name Lifor Surname Date State Country Light Country L	State NC Telephone 336-607-7300 A petition has been filed for Family Name Peng or Sumame Date State A petition has been filed for Family Name Peng or Sumame Date State A petition has been filed for Family Name Peng or Sumame Date State Country AR Li or Surname Date State Country USA			

PTO/SB/02A (08-03) Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
as are required to respond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduct

DECLARATION

ADDITIONAL INVENTOR(S) **Supplemental Sheet**

Page 3 of 3

Name of Additional Inventor, if any		□Ap	☐ A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])			Family Name or Surname						
David /			Battaglia						
Inventor's Signature	Maylin			Date 9/16/04					
Residence: City Fayetteville	State AR	Country	USA	USA Citizenship					
Malling Address 93 XVillage Lake Dr., Apt. 5									
Mailing Address									
City Fayetteville	. State AR	ZiP	72703	Country					
Name of Additional Inventor, if a	ту	□Аре	A petition has been filed for this unsigned inventor						
Given Name (first and midd	le [if any])		Family Name or Sumame						
Y. Andrew		Wang	Wang						
Inventor's Signature				Date 1/6/2004					
Residence: City / Fayetteville	State AR	Country	USA	Citizenship China					
Mailing Address 3468 W. Yale Street	t								
Mailing Address									
City Fayetteville	State AR	Zip	72704	ountry USA					
Name of Additional Inventor, if any			☐ A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])			Family Name or Sumame						
Yunjun		Wang							
Inventor's Many	ary 5			Date 9/16/2004					
Residence: City Fayetteville	State AR	Country	USA	Citizenship Canadian					
Mailing Address -1400 N. Garland, A	pt.#2A- 176		Levere	HAVE # 263 YJW					
Mailing Address									
City Fayetteville	State AR	Zij	72703	Country USA					

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. VA 22313-1450.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1:63. The Information is required to obtain or retain a bonefit by the public which is to life (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1 14 This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Petont and Trademark Office. U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22315-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PYO-9199 and select option 2.

9400 V V

9939977777

.....



1433 1 1 1 1

PTU/SB/01 (08-03) Approved for use through 07/31/2005. OAD 0831-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction of Information unless it contains a valid OMB control number. 12410 - 3 DECLARATION — Utility or Design Patent Application 69.7 PA-4-2, 5 % -

en t	Direct all correspondence to:	Customer Number	ır 📗				OR		Correspondence address below
Marana and a second	Name					,			
	J, Clinton Wimbish								
	Address								
. · [1001 West Fourth Street				_		· · · · · · · · · · · · · · · · · · ·		
rsas se en	City State ZIP								
	Winston-Salem								
``.	Country		Telephone					Fax	807-7500
range to the first of the	USA				8-807-				
	I hereby declare that all statements believed to be true; and further that punishable by fine or imprisonment application or any patent issued the	i those statements were i, or both, under 18 U.S.(mada with	the know	Medae	that will	ui (alse si	alemen	ts and the like so medo aro
	NAME OF SOLE OR FIRST I	VENTOR:			petiti	on has l	been file	d for th	is unsigned Inventor
	Given Name (first and middle [if any])	(laogang				ly Name irname		3	
	Inventor's Signature						Date		
er, Vare	Residence: City		State Co		Count	ountry		Citizenship	
estimal of a color	Fayetteville		AR			USA		China	
	Mailing Address	. , .							
	2668 Dewberry Court								
paraul en anno	'City'	••.	State			Zip		1	Country
	Fayetteville		AR			72701			U\$A
	NAME OF SECOND INVENT	OR:			A petit	ion has	been file	ed for t	his unsigned Inventor
	Given Name (first and middle [if any])	Jlanging				ily Nam umame	e Li	•	
eminima transition of the control of	Inventor's Signature						Date 9	116	,/2004
ത്യൂപ്പാം	Residence: City		State		Country		try	1	Citizenship
	Los Angeles		CA		USA			China	
	Máiling Address 3110 Sawtelle Blvd, Apt. 305								
1.	City		State			Zip			Country
-	Los Angeles		CA			9006	5		USA
	Additional inventors or a lega	il representativo are being	named on	the 1 sup	plemen	tal sheat() PTO/SE	/02A or	02LR attached herato.

[Page 2 of 2]